

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Round Rock Research, LLC

Application No./Patent

No./Control No.: 6,765,276 Filed/Issue Date: July 20, 2004

Entitled: BOTTOM ANTIREFLECTION COATING COLOR FILTER PROCESS FOR FABRICATING SOLID STATE IMAGE SENSORS

Round Rock Research, LLC

(Name of Assignee)

, a

limited liability company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or a true copy of the original assignment is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: the inventors To: Aligent Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012351 , Frame 0907 , or for which a copy thereof is attached.
2. From: Aligent Technologies, Inc. To: Avago Technologies General IP PTE. LTD.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017207 , Frame 0020 , or for which a copy thereof is attached.
3. From: Avago Technologies General IP PTE. LTD. To: Avago Technologies Imaging IP (Singapore) PTE. LTD.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017675 , Frame 0738 , or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

**As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/ Richard J. Botos /

Signature

February 11, 2011

Date

Richard J. Botos

Printed or Typed Name

(908) 654-5000

Telephone Number

Attorney for Owner, Round Rock Research, LLC

Title

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: February 11, 2011

Electronic Signature for Richard J. Botos: /Richard J. Botos/

### **STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet**

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Avago Technologies Imaging IP (Singapore) PTE. LTD. To: Avago Technologies Sensor IP PTE. LTD.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017675 , Frame 0691 , or for which a copy thereof is attached.
5. From: Avago Technologies Sensor IP PTE. LTD. To: Avago Technologies Imaging Holding Corporation  
The document was recorded in the United States Patent and Trademark Office at  
Reel 021603 , Frame 0690 , or for which a copy thereof is attached.
6. From: Avago Technologies Imaging Holding Corporation To: Micron Technology, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 018757 , Frame 0159 , or for which a copy thereof is attached.
7. From: Avago Technologies Imaging Holding Corporation To: Micron Technology, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019407 , Frame 0441 , or for which a copy thereof is attached.
8. From: Micron Technology, Inc. To: Round Rock Research, LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 023786 , Frame 0416 , or for which a copy thereof is attached.
9. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.